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FIIG Funding Details Form

Please use this form to instruct FIIG to (please select below):

- Establish a Direct Debit (please complete section 1 and provide a current bank statement)
- Authorise FIIG on your existing Macquarie Cash Management Account (please complete section 2)
- Request FIIG to open a new Macquarie Cash Management Account on your behalf (please complete section 3)
- Change how you pay custody fees (please complete section 4)
- Instruct FIIG to pay foreign coupons to a foreign currency account (please complete section 5)

If any of your investment entity details have changed, please complete and return a Change of Client Details form to clientservices@fiig.com.au

I/we, instruct FIIG to update the funding details for the following Investment Entity or FIIG Custody Account as instructed and, accordingly, for FIIG to also update the funding details on any other relevant account which FIIG acts for me/us as advisor:

Investment Entity Name:

FIIG Custody Account Number:

SECTION 1 – ESTABLISH A DIRECT DEBIT

I/we grant FIIG (Debit User Identification Number 660622) authority to direct debit my Nominated Bank Account, the details of which are recorded below, in accordance with the CCIT Agreement and the Direct Debit Terms and Conditions. I/we confirm that I/we have read and understand the Direct Debit Terms and Conditions accessible at www.fiig.com.au/DDterms.

Please note – if your Nominated Bank Account does not have a direct debit facility, our Client Services team will contact you to discuss an alternative arrangement.

Checklist

☐ Bank account 'name' matches investment entity

☐ Provide a bank statement

Account name

BSB | *Account number*

SECTION 2 – AUTHORISE FIIG ON YOUR EXISTING MACQUARIE CASH MANAGEMENT ACCOUNT

I/we grant FIIG authority over an existing Macquarie Cash Management Account I/we hold, the details of which are recorded below, in accordance with the CCIT Agreement and nominate that account as my/our Bank Account for the purposes of the Client Custody Agreement Terms and Conditions. I/we request that FIIG transact, settle and otherwise deal with this Bank Account in accordance with the CCIT Agreement. I/we request that FIIG directly debit that Bank Account in accordance with the CCIT Agreement and the Direct Debit Terms and Conditions available at www.fiig.com.au/DDterms.

MACQUARIE BANK LIMITED

Account name

BSB | *Account number*

☑ You appoint FIIG to have access to this account and have general withdrawal authority to transact, settle and otherwise deal with this account on your behalf and in accordance with the CCIT Agreement.

Please provide a bank statement for this account.

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SECTION 3 – OPEN A MACQUARIE CASH MANAGEMENT ACCOUNT

I/we instruct FIIG to open a Macquarie Cash Management Account on my/our behalf in the name of the Investment Entity set out above, and grant FIIG authority over that account in accordance with the CCIT Agreement. I/we request that FIIG transact, settle and otherwise deal with this Funding Account in accordance with the CCIT Agreement. I/we request that FIIG directly debit that Funding Account in accordance with the CCIT Agreement and the Direct Debit Terms and Conditions available at www.fiig.com.au/DDterms.

You have provided FIIG with instructions to open a Funding Account on your behalf. FIIG will prepare an online application to Macquarie Bank Limited on your behalf to open a Funding Account. You will receive an e-mail from Macquarie Bank Limited noting that FIIG has submitted an online application on your behalf for a Macquarie Cash Management Account.

This e-mail will provide you with:

- the Macquarie Cash Management Account Product Information Statement, Further Information Guide and Fees, limits and lodgement times documents; and
- a link for you to review and submit the application.

The Macquarie Cash Management Account will be opened in the Investment Entity Name (set out in the beginning of this form).

Complete the following information for all Investment Entity types

INDIVIDUAL 1

Given Name(s)	*First*	*Middle*
Surname		
Other Name		
Salutation (Mr, Mrs) & Date of birth	*Sal*	*Date of birth*
Occupation		

☑ Yes ☐ No — Is this individual an authorised signatory (i.e. authorised to instruct on this account)? Note: authorised signatories will receive copies of contract notes, monthly statement etc.

Australian residential address

Street no. & name		
Suburb		
State & postcode	*State*	*Postcode*
Time at address	*Years*	*Months*
Email Address		
Phone	*Day*	*Mob*

Postal Address (if different from above)

Street no. & name		
Suburb		
State & postcode	*State*	*Postcode*
Country		

Source of Funds or Wealth (must tick one only)

- ☐ Income *(i.e., employment, investment, business, other earnings)*
- ☐ One-off payment *(i.e., matured investment, legal settlement, estate proceeds)*
- ☐ Sale of assets *(i.e., shares, property)*
- ☐ Windfall *(i.e., gifts, winnings)*

INDIVIDUAL 2

Given Name(s)	*First*	*Middle*
Surname		
Other Name		
Salutation (Mr, Mrs) & Date of birth	*Sal*	*Date of birth*
Occupation		

☑ Yes ☐ No — Is this individual an authorised signatory (i.e. authorised to instruct on this account)? Note: authorised signatories will receive copies of contract notes, monthly statement etc.

Australian residential address

Street no. & name		
Suburb		
State & postcode	*State*	*Postcode*
Time at address	*Years*	*Months*
Email Address		
Phone	*Day*	*Mob*

Postal Address (if different from above)

Street no. & name		
Suburb		
State & postcode	*State*	*Postcode*
Country		

Source of Funds or Wealth (must tick one only)

- ☐ Income *(i.e., employment, investment, business, other earnings)*
- ☐ One-off payment *(i.e., matured investment, legal settlement, estate proceeds)*
- ☐ Sale of assets *(i.e., shares, property)*
- ☐ Windfall *(i.e., gifts, winnings)*

Complete the following information for Companies, Trusts and Super Funds

Nature of Trust, SMSF or company	

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SECTION 4 – UPDATE CUSTODY FEE ARRANGEMENT

Tick the box below if you want Fees and other amounts deducted from your Distributions before such amounts are debited from your Bank Account. Otherwise, leave this section blank.

Direct debit (default option)

Unless I/we tick the box below, I/We authorise and direct FIIG to:

- attempt to debit my/our Bank Account nominated in Sections 1 and 2 above for the amount of any Fees, expenses, outlays and any other amounts payable by me/us under or in connection with my/our Client Custody Agreement, as my/our preferred method of paying such amounts; and
- to the extent such amounts cannot be recovered from my/our Bank Account after 3 attempts, to deduct such amounts from my/our Distributions in accordance with my/our Client Custody Agreement.

OR

☐ **Distribution deductions**

I/We authorise and direct the Trustee to:

- deduct any Fees, expenses, outlays, and any other amounts payable by me/us under or in connection with my/our Client Custody Agreement from my/our Distributions, to the extent it is reasonably practicable for the Custodian to do so; and
- if it is not reasonably practicable to do so, or the amount of my/our Distributions is insufficient to meet all outstanding Fees, expenses, outlays, and any other amounts payable by me/us under or in connection with my/our Client Custody Agreement, debit my/our Bank Account nominated in Section 1 and 2 above for all such outstanding amounts.

SECTION 5 – NOMINATE A FOREIGN CURRENCY SETTLEMENT ACCOUNT

I/we instruct FIIG to pay foreign currency interest payments and any foreign currency sale proceeds into the below foreign currency bank account in accordance with the CCIT Agreement.

Currency	*Bank*
Account Name	
Swift Code / BIC	*BSB* / *Sort Code*
IBAN	
For further credit information reference	

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FATCA – Foreign Account Tax Compliance Act

The introduction of FATCA has resulted in a number of additional due diligence and reporting requirements for non-U.S financial institutions. The purpose of FATCA is to ensure that income tax is paid by U.S individuals and entities where they have financial accounts outside of the U.S.

By executing and returning this form to FIIG you confirm that you, the Client/Investment Entity, are either:-

i. An individual/joint applicant who is an Australian resident or citizen for taxation purposes and not a U.S citizen or U.S resident for FATCA purposes; OR

ii. A Regulated super fund applicant (Self-Managed Superannuation Fund , APRA regulated super fund, government super fund or pooled superannuation trust).

If you cannot confirm (i) or (ii) above please contact FIIG on 1800 0101 81 or email **clientservices@fiig.com.au.**

Information (including client identification details and personal information) may be provided to agents appointed by FIIG to carry out relevant procedures and services, in order for FIIG and those entities to meet their obligations under the Anti-Money Laundering/Counter Terrorism Financing Act 2006 and under the Intergovernmental Agreement between the Australian and US governments to improve international tax compliance and to implement FATCA. Counter Terrorism Financing Act 2006 and under the Intergovernmental Agreement ("IGA") between the Australian and US governments to improve international tax compliance and to implement FATCA.

Terms defined in the Opening a FIIG Client Account Application Form ("CAAF"), including the Agreement for Opening a FIIG Client Account in Section 9 of the CAAF, the Client Custody Agreement Terms and Conditions, the Client Custody and Investment Transaction Account ("CCIT Agreement"), and the Terms and Conditions of Dealing with FIIG Securities Limited as set out in the Financial Services Guide have the same meaning as in this form.

Executed as an agreement by the Investment Entity/Client:
Note: all bank account signatories must sign here.

Individual 1/Director 1/ Sole Director	Individual 2/Director 2/ Company Secretary	Individual 3/Director 3	Individual 4/Director 4
SIGN HERE *Signature*	*Signature*	*Signature*	*Signature*
Print name	*Print name*	*Print name*	*Print name*
Date	*Date*	*Date*	*Date*

Disclaimer

FIIG Securities Limited ('FIIG') provides general financial product advice only. As a result, this document, and any information or advice, has been provided by FIIG without taking account of your objectives, financial situation and needs. Because of this, you should, before acting on any advice from FIIG, consider the appropriateness of the advice, having regard to your objectives, financial situation and needs. If this document, or any advice, relates to the acquisition, or possible acquisition, of a particular financial product, you should obtain a product disclosure statement relating to the product and consider the statement before making any decision about whether to acquire the product. Neither FIIG, nor any of its directors, authorised representatives, employees, or agents, makes any representation or warranty as to the reliability, accuracy, or completeness, of this document or any advice. Nor do they accept any liability or responsibility arising in any way (including negligence) for errors in, or omissions from, this document or advice. FIIG, its staff and related parties earn fees and revenue from dealing in the securities as principal or otherwise and may have an interest in any securities mentioned in this document. Any reference to credit ratings of companies, entities or financial products must only be relied upon by a 'wholesale client' as that term is defined in section 761G of the Corporations Act 2001 (Cth). FIIG strongly recommends that you seek independent accounting, financial, taxation, and legal advice, tailored to your specific objectives, financial situation or needs, prior to making any investment decision. FIIG does not provide tax advice and is not a registered tax agent or tax (financial) advisor, nor are any of FIIG's staff or authorized representatives. A copy of FIIG's current Financial Services Guide is available at www.fiig.com.au/fsg.

An investment in notes or corporate bonds should not be compared to a bank deposit. Notes and corporate bonds have a greater risk of loss of some or all of an investor's capital when compared to bank deposits. Past performance of any product described on any communication from FIIG is not a reliable indication of future performance. Forecasts contained in this document are predictive in character and based on assumptions such as a 2.5% p.a. assumed rate of inflation, foreign exchange rates or forward interest rate curves generally available at the time and no reliance should be placed on the accuracy of any forecast information. The actual results may differ substantially from the forecasts and are subject to change without further notice. The information in this document is strictly confidential. If you are not the intended recipient of the information contained in this document, you may not disclose or use the information in any way. No liability is accepted for any unauthorised use of the information contained in this document. FIIG is the owner of the copyright material in this document unless otherwise specified.)